

Loop Invariants and Insertion-sort

COMS10017 - Algorithms 1

Dr Christian Konrad

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At the end of the loop, i.e., after iteration $n - 1$ (or before a virtual iteration n) $m = m_n = \max\{A[j] : j < n\} \checkmark$

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Algorithm computes the factorial function

Sorting Problem

- **Input:** An array A of n numbers
- **Output:** A reordering of A s.t. $A[0] \leq A[1] \leq \dots \leq A[n-1]$

Example: Insertion Sort

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Require: Array  $A$  of  $n$  numbers  
for  $j = 1, \dots, n - 1$  do  
     $v \leftarrow A[j]$   
     $i \leftarrow j - 1$   
    while  $i \geq 0$  and  $A[i] > v$  do  
         $A[i + 1] \leftarrow A[i]$   
         $i \leftarrow i - 1$   
     $A[i + 1] \leftarrow v$ 
```

INSERTION-SORT

Example:

```
Require: Array  $A$  of  $n$  numbers  
for  $j = 1, \dots, n - 1$  do  
   $v \leftarrow A[j]$   
   $i \leftarrow j - 1$   
  while  $i \geq 0$  and  $A[i] > v$  do  
     $A[i + 1] \leftarrow A[i]$   
     $i \leftarrow i - 1$   
   $A[i + 1] \leftarrow v$ 
```

0	1	2	3	4	5
15	7	3	9	8	1

Example:

```
Require: Array  $A$  of  $n$  numbers  
for  $j = 1, \dots, n - 1$  do  
   $v \leftarrow A[j]$   
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  while  $i \geq 0$  and  $A[i] > v$  do  
     $A[i + 1] \leftarrow A[i]$   
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0	$j = 1$	2	3	4	5
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```

0	$j = 1$	2	3	4	5
15	7	3	9	8	1

$v \leftarrow 7$

Example:

```
Require: Array  $A$  of  $n$  numbers  
for  $j = 1, \dots, n - 1$  do  
   $v \leftarrow A[j]$   
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  while  $i \geq 0$  and  $A[i] > v$  do  
     $A[i + 1] \leftarrow A[i]$   
     $i \leftarrow i - 1$   
   $A[i + 1] \leftarrow v$ 
```

$i = 0$	$j = 1$	2	3	4	5
15	7	3	9	8	1

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Example:

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for  $j = 1, \dots, n - 1$  do  
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     $i \leftarrow i - 1$   
   $A[i + 1] \leftarrow v$ 
```

$i = -1$	$j = 1$	2	3	4	5
15	15	3	9	8	1

$v \leftarrow 7$

Example:

```
Require: Array  $A$  of  $n$  numbers  
for  $j = 1, \dots, n - 1$  do  
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```

$i = -1$	$j = 1$	2	3	4	5
7	15	3	9	8	1

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Require: Array  $A$  of  $n$  numbers  
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     $i \leftarrow i - 1$   
   $A[i + 1] \leftarrow v$ 
```

0	1	$j = 2$	3	4	5
7	15	3	9	8	1

Example:

```
Require: Array  $A$  of  $n$  numbers  
for  $j = 1, \dots, n - 1$  do  
   $v \leftarrow A[j]$   
   $i \leftarrow j - 1$   
  while  $i \geq 0$  and  $A[i] > v$  do  
     $A[i + 1] \leftarrow A[i]$   
     $i \leftarrow i - 1$   
   $A[i + 1] \leftarrow v$ 
```

0	1	$j = 2$	3	4	5
7	15	3	9	8	1

$v \leftarrow 3$

Example:

```
Require: Array  $A$  of  $n$  numbers  
for  $j = 1, \dots, n - 1$  do  
   $v \leftarrow A[j]$   
   $i \leftarrow j - 1$   
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     $A[i + 1] \leftarrow A[i]$   
     $i \leftarrow i - 1$   
   $A[i + 1] \leftarrow v$ 
```

0	$i = 1$	$j = 2$	3	4	5
7	15	3	9	8	1

$v \leftarrow 3$

Example:

```
Require: Array  $A$  of  $n$  numbers  
for  $j = 1, \dots, n - 1$  do  
   $v \leftarrow A[j]$   
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  while  $i \geq 0$  and  $A[i] > v$  do  
     $A[i + 1] \leftarrow A[i]$   
     $i \leftarrow i - 1$   
   $A[i + 1] \leftarrow v$ 
```

$i = 0$	1	$j = 2$	3	4	5
7	15	15	9	8	1

$v \leftarrow 3$

Example:

```
Require: Array  $A$  of  $n$  numbers  
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   $A[i + 1] \leftarrow v$ 
```

$i = -1$	1	$j = 2$	3	4	5
7	7	15	9	8	1

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     $A[i + 1] \leftarrow A[i]$   
     $i \leftarrow i - 1$   
   $A[i + 1] \leftarrow v$ 
```

$i = -1$	1	$j = 2$	3	4	5
7	7	15	9	8	1

$v \leftarrow 3$

Example:

```
Require: Array  $A$  of  $n$  numbers  
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```

$i = -1$	1	$j = 2$	3	4	5
3	7	15	9	8	1

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     $A[i + 1] \leftarrow A[i]$   
     $i \leftarrow i - 1$   
   $A[i + 1] \leftarrow v$ 
```

0	1	2	$j = 3$	4	5
3	7	15	9	8	1

Example:

```
Require: Array  $A$  of  $n$  numbers  
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   $A[i + 1] \leftarrow v$ 
```

0	1	2	$j = 3$	4	5
3	7	9	15	8	1

Example:

```
Require: Array  $A$  of  $n$  numbers  
for  $j = 1, \dots, n - 1$  do  
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```

0	1	2	3	$j = 4$	5
3	7	9	15	8	1

Example:

```
Require: Array  $A$  of  $n$  numbers  
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     $A[i + 1] \leftarrow A[i]$   
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```

0	1	2	3	$j = 4$	5
3	7	8	9	15	1

Example:

```
Require: Array  $A$  of  $n$  numbers  
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```

0	1	2	3	4	$j = 5$
3	7	8	9	15	1

Example:

```
Require: Array  $A$  of  $n$  numbers  
for  $j = 1, \dots, n - 1$  do  
   $v \leftarrow A[j]$   
   $i \leftarrow j - 1$   
  while  $i \geq 0$  and  $A[i] > v$  do  
     $A[i + 1] \leftarrow A[i]$   
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```

0	1	2	3	4	$j = 5$
1	3	7	8	9	15

Loop Invariant of Insertion-sort

```
for  $j = 1, \dots, n - 1$  do  
   $v \leftarrow A[j]$   
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Loop Invariant: At beginning of iteration j of the outer **for** loop, the subarray $A[0, j - 1]$ consists of the elements originally in $A[0, j - 1]$, but in sorted order

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- **Initialization:** $j = 1$:

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Loop Invariant of Insertion-sort

```
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- **Initialization:** $j = 1$: subarray $A[0]$ is sorted ✓
- **Maintenance:** *Informally*, element $A[j]$ is inserted at the right place within $A[0, j]$. A formal argument would require another loop invariant for the inner loop. ✓
- **Termination:** After iteration $j = n - 1$ (i.e., before iteration $j = n$) the loop invariant states that A is sorted. ✓

Worst-case Runtime of Insertion-sort

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$$\sum_{j=1}^{n-1} j \cdot O(1) = O(1) \sum_{j=1}^{n-1} j = O(1) \frac{n(n-1)}{2} = O(1)(n^2 - n) = O(n^2).$$

Best-case Runtime:

Worst-case Runtime of Insertion-sort

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- The outer loop goes from $j = 1$ to $j = n - 1$
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- All other operations take time $O(1)$. Hence:

$$\sum_{j=1}^{n-1} j \cdot O(1) = O(1) \sum_{j=1}^{n-1} j = O(1) \frac{n(n-1)}{2} = O(1)(n^2 - n) = O(n^2).$$

Best-case Runtime: $O(n)$

E.g., if input is already sorted